# Planetary Motion, Restricted 3-body Problem in Newtonian Mechanics and in General Relativity; Comments on Solar System Stability

#### M.Cattani

(mcattani@if.usp.br)

#### Institute of Physics of the University of São Paulo

**Abstract.** This paper was written to graduate and postgraduate students of Physics. First is shown a brief analysis about Planetary Motion in Classical Mechanics and General Relativity. After we have studied the Relativistic and Non Relativistic Restricted 3-body self-gravitating systems. Finally, was briefly discussed the stability of the Solar System.

Key words: planetary motion: classical mechanics; general relativity; chaos.

## (1) Classical Particle Motion in Central Field.

In this Section are presented main aspects of the motion of a planet submitted to an attractive central force following Landau & Lifchitz.<sup>[1]</sup>

When a body with mass m moves in a central attractive potential field U(r) it will be submitted to a force  $F(r) = -[dU(r)/dr]r_0$ . Its angular momentum  $L = r \times p$  is conserved, where p = mv. As the vectors L and r are perpendiculars, during all time, its trajectory r(t) remains in the plane (r,p), perpendicular to L.

In polar coordinates r and  $\theta$  the Lagrange function  $L(r, \varphi)$  is written<sup>[1]</sup>

$$L = (m/2)[r^{*2} + r^2\theta^{*2}] - U(r)$$
 (1.1),

where  $r^* = dr/dt$  and  $\theta^* = d\theta/dt$ . As this function does not depend explicitly of  $\theta$ , it is a *cyclic* coordinate, that is,

$$d\{\partial \mathcal{L}/\partial \theta^*\}/dt = \partial \mathcal{L}\partial \theta = 0$$
 (1.2),

showing that the generalized impulse  $p_{\theta} = \partial L/\partial \theta = constant$ . That is, the angular momentum  $L_{\theta}$ :

$$L_{\theta} = p_{\theta} = mr^2 \theta^* = mr^2 (d\theta/dt) = \text{ constant.}$$
 (1.3).

Since the motion is always in a plane perpendicular to L we can write

$$L_z = mr^2(d\theta/dt) = L = constant$$
 (1.4).

and its energy E = constant would be given by

$$E = (m/2)\{r^{*2} + r^2\theta^{*2}\} + U(r) = mr^{*2}/2 + L^2\theta^{*2}/(2mr^2) + U(r)$$
 (1.5).

From Eq.(1.5) we get, since  $r^* = dr/dt$  and  $md\theta = (L/r^2)dt$ ,

$$t = \int dr / \{ (2/m)[E-U(r)] - (L^2/m^2r^2) \}^{1/2} + constant$$
 (1.6),

and

$$\theta = \int (Ldr/r^2)/\{(2/m)[E-U(r)]-(L^2/m^2r^2)\}^{1/2} + constant$$
 (1.7).

Equations (1.6) and (1.7) give the general solution of the problem. The first one (1.6) gives  $\mathbf{r} = \mathbf{r}(\mathbf{t})$  and the second (1.7),  $\theta = \theta(\mathbf{r})$ .

It is important to note that from Eq.(1.4) that  $d\theta/dt$  never change of signal: or it is *clockwise* or *counter clockwise* In this way<sup>[1]</sup> the body motion can be finite or infinite. If it comes from infinite it goes to infinite (as will be analyzed latter). It can also be limited, moving between  $r_{min}$  and  $r_{max}$ . Its trajectory will be inside a ring limited by circles with  $r_{min}$  and  $r_{max}$  (Fig. 1).

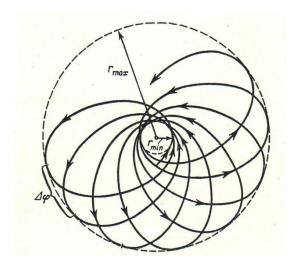


Figure 1.Body trajectory between points  $r_{min}$  and  $r_{max}$  and angle  $\Delta \phi.^{[1]}$ 

At  $r_{min}$  and  $r_{max}$  the radial velocity  $dr/dt = r^* = 0$  but the angular velocity is always  $\theta^* = d\theta/dt \neq 0$ , because  $L = mr^2(d\theta/dt)$  is constant.

When r goes from  $r_{max}$  and  $r_{mim}$  and, after again to  $r_{max}$ , the vector radius is displaced by an angle  $\Delta\theta$  given by (**Figure 1**),

$$\Delta\theta = 2 \int (Mdr/r^2) / \{(2/m)[E-U(r)] - M^2/r^2\}^{1/2}$$
 (1.8)

To have a **closed trajectory** it is **necessary an sufficient** that  $\Delta\theta = 2\pi(m/n)$  where m and n are **integer numbers.** This means that after n repetitions of this period of time the vector radius, been performed m complete turns, will be back to its initial value. In this way the trajectory becomes **closed**. There are only two kinds of central fields that obey this condition: when  $U(r) \sim 1/r$  and  $U(r) \sim r^2$ . In other cases the trajectories of finite movements are **not closed**. They pass infinite times by  $r_{min}$  and  $r_{max}$  (**Figure 1**) and after an infinite time they fill completely the ring area between these distances.

As a last comment, it is shown<sup>[1]</sup> that a particle can "fall" to the center when  $U(r) \sim -1/r^{\beta}$  only if  $\beta > 2$ .

# (2) Planetary Motion: Kepler Problem.

In what follows will be analyzed a planetary system (for instance, **Sun and planets**). In this case the central field is  $U(r) = -\alpha/r$ , where  $\alpha =$  constant = GMm, where M = solar mass and m = planet mass This analysis will be done following Landau & Lifchitz<sup>[1]</sup>, Goldstein<sup>[2]</sup> and Symon.<sup>[3]</sup>

According to Goldstein, <sup>[2]</sup> taking into account that energy E = constant (**Eq.1.5**) and that the angular momentum  $L = m r^2 \theta^* = m r^2 (d\theta/dt) =$  constant, and defining u = 1/r one can show that

$$d^2u/d\theta^2 + u = m\alpha/L$$
 (2.1)

Putting  $y(t) = 1/r - m\alpha/L^2$ , from **Eq.(2.1)** we get

$$d^2y/dt^2 + y = 0 (2.2),$$

which has the immediate solution

$$y(t) = b \cos(\theta - \theta_0)$$
 (2.3)

where b and  $\theta_o$  are constants of integration, that is,

$$1/r = (m\alpha/L^2)\{1 + \epsilon \cos(\theta - \theta_0)\}...$$
 (2.4),

where  $\varepsilon = b[L^2/m\alpha]$ , where b is a constant to be determined as a function of E = T - U = constant and  $L = m r^2(d\theta/dt) = angular momentum = constant$ .

In this way, one we can show that<sup>[2]</sup>

$$\theta = \theta_o - \int du / \{2mE/L^2 - 2\alpha mu/L^2 - u^2\},$$
 (2.5).

Integrating Eq.(2.5),<sup>[2]</sup>

$$\theta - \theta_0 = -\arccos\{(uL^2/m\alpha - 1)/(1 + 2EL^2/m\alpha^2)^{1/2}\}$$
 (2.6).

Finally, as u = 1/r we obtain

$$1/r = (m\alpha/L^2) \{ 1 + (1 + 2EL^2/m\alpha^2)^{1/2} \cos(\theta - \theta_0) \}$$
 (2.7),

where the constant  $\theta_0$  can now be identified as one of the turning angles of the **orbit**.

### (2.1)General Equation of a Conic.

The general equation of a conic with one focus at the origin is [2]

$$1/r = C \left\{ 1 + \varepsilon \cos(\theta - \theta_0) \right\}$$
 (2.8),

where C is a constant and  $\varepsilon$  is the eccentricity of the conic section. By comparison with Eq.(2.7) we note that

$$C = m\alpha/L^2$$
 and  $\varepsilon = (1 + 2EL^2/m\alpha^2)^{1/2}$  (2.9).

The orbit nature depends on E and  $\varepsilon$ , according to the following scheme,

$$\epsilon > 1$$
,  $E > 0$   $\rightarrow$  hyperbola  $\epsilon = 1$ ,  $E = 0$   $\rightarrow$  parabola  $\epsilon < 1$ ,  $E < 0$   $\rightarrow$  ellipse  $\epsilon = 0$ ,  $E = -m\alpha^2/2L^2$   $\rightarrow$  circle,

Figures and details of these orbits are shown, for instance, by Landau<sup>[1],</sup> Goldstein<sup>[2]</sup> and Symon.<sup>[3]</sup>

# Hyperbola.

In this case E > 0 and the motion is infinity. The body comes from infinity and goes to infinity. It contours the center of the potential which is the *focus* of the trajectory.

#### Parabola.

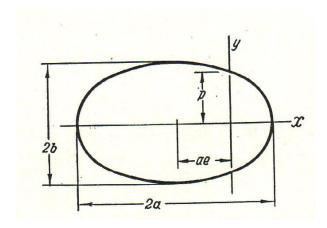
In this case  $\mathbf{E} = \mathbf{0}$  and the motion is also infinity. The body comes from infinity and goes to infinite. It contours the center of the potential which is in the *focus* of the parabola.

#### Ellipse.

In the case of a *planet moving around the Sun* the energy E is given by  $E = T-U(r) = mr^2(d\theta/dt)^2 - \alpha/r < 0$ . That is, it is in a *bound state* and its energy passes by a minimum value  $E_{min} = -\alpha^2 m/2L^2$ . In these conditions its trajectory is an **ellipse** and **Eq.(2.8)** can be written as [1]

$$p/r = 1 + \varepsilon \cos(\theta)$$
 (2.9),

where  $p = L^2/m\alpha$  and  $\varepsilon = \{1+2EL^2/m\alpha^2\}^{1/2}$ . It corresponds to an ellipse with focus at the origin of coordinates (where is the Sun) and  $\theta_o = 0$  (Fig. (2.1)).



**Figure (2.1).** Elliptic orbit with the Sun at the origin of coordinates (x,y).

The large **a** and small **b** axis of the ellipse are given, respectively, by  $a = p/(1-\epsilon^2) = \alpha/(2 \mid E \mid)$  and  $b = p/(1-\epsilon^2)^{1/2} = L/(2m \mid E \mid)^{1/2}$ . The ellipse area is given by  $S = \pi ab$  and the period by  $T = 2\pi\alpha^{3/2}(m/\alpha)^{1/2}$ .

Elliptic orbits give good descriptions of the planetary motions in Solar System. The elliptical orbits have the Sun at one *focus*. However, it is observed that as the planets describe their orbits, their major axes slowly rotate about the Sun. There is a shifting of the line from the Sun to the perihelion through an angle  $\Delta\theta$  during each orbit. This shifting is referred to as the *precession of the perihelion*. To explain these *orbit precessions* it is necessary to take into account the **General Theory Relativity**. It will be done in **Section 3**.

# (3) Planetary Motion in General Relativity.

Let us study the **planetary motion** within the General Relativity context.<sup>[1,4-6]</sup> To be instructive and didactical will be taken into account two different approaches: **Geodesic Equations** and **Lagrange Equations**.

#### (3.1) Geodesics Equations.

The planetary geodesics equations of motion<sup>[4-6]</sup>in *General Relativity* are given by

$$d^{2}x^{\alpha}/ds^{2} + {\alpha \atop \mu \nu}(dx^{\mu}/ds)(dx^{\nu}/ds) = 0$$
 (3.1),

where  $\{_{\mu}^{\alpha}{}_{\nu}\}$  are the Christoffel symbols<sup>[4-6]</sup> and

$$ds^{2} = c^{2} d\tau^{2} = g_{\mu\nu} dx^{\mu} dx^{\nu}$$
 (3.2),

where  $\tau = proper$  time,  $\mu, \nu = 1, 2, 3, 4$ ;  $x^1 = r$ ,  $x^2 = \theta$ ,  $x^3 = \phi$  and  $x^4 = x^0 = ct$ . With **Eqs.(3.1)** and **(3.2)** and solving *Einstein field equations* 

$$R_{\mu\nu} - (1/2)g_{\mu\nu} = (8\pi G/c^2) T_{\mu\nu}$$
 (3.2a)

where  $T_{\mu\nu}$  is the matter tensor<sup>[4-6]</sup> for a spherical body (Sun), with radius R and mass M, putting  $H = GM/c^2$ , ds<sup>2</sup> is given by<sup>[4-6]</sup>

$$ds^{2} = c^{2}d\tau^{2} = (1 - 2H/r)c^{2}dt^{2} - (1 - 2H/r)^{-1}dr^{2} - r^{2}d\theta^{2} - r^{2}\sin^{2}\theta d\phi^{2}$$
 (3.3).

When  $\text{H/r} = \text{GMc}^2/\text{r} \ll 1$ , solving the geodesic equations (3.1) we get, instead of Eq.(2.1),

$$d^{2}u/d\theta^{2} + u - GM/A^{2} - 3GMu^{2} = 0$$
 (3.4)

where A = L/m, that is, $GM/A^2 = m\alpha/L$ . In the Newtonian limit<sup>[1]</sup>we have

$$d^{2}u/d\theta^{2} + u = (GM/A^{2})$$
(3.5).

As GM/rc<sup>2</sup> ~10<sup>-8</sup> is very small,<sup>[4-6]</sup> even for the planet Mercury, compared with the other ones it would be sufficient to solve **Eq.(3.4)** using the method of successive approximations. So, beginning the calculation with u given by the Newtonian equation (3.4) one can show putting, for simplicity  $\theta_0 = 0$ , that<sup>[4-6]</sup>

$$1/r = (GMm^2/L^2)\{1 + \epsilon \cos[\theta - 3(GMm/L)^2\theta]\}$$
 (3.6),

which represents an orbit that is a precessing ellipse, putting A = L/m, [4-6]

$$1/r = (GM/A^{2})\{1 + \varepsilon \cos[\theta - 3(GM/A)^{2}\theta]\}$$
 (3.7).

This shows that the next perihelion will occur when the cosine argument changes by  $2\pi$ , that is,

$$\theta - 3(GM/A)^2\theta = \theta[1 - 3(GM/A)^2] = 2\pi$$
 (3.8),

implying that the angular distance  $\Delta$  (perihelion shift) between one perihelion and the next would be

$$\Delta = 6\pi (GM/A)^2$$
 (3.9).

Note that the precession is in the direction of the motion. (**Fig.(3.1**)).

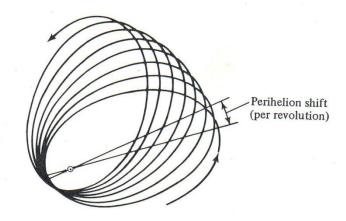


Figure (3.1). Planetary orbit with perihelion precession.<sup>[5]</sup>

The *predicted* angular advance of the perihelion per revolution would be  $6\pi \, (GM/A)^2$ . To Mercury, Venus and Earth they would be, respectively, **43**", **8.6**" and **3.8**" and the *observed* values being: **43**", **8.4**" and **5.0**". [4-6]

# (3.2)Lagrangian Equations.

For a *timelike geodesic* (massive particle) we may use its proper time  $\tau$  as an affine parameter to obtain the 4 geodesic equations: [7]

$$d(\partial \mathcal{L}/\partial \dot{x}^{\mu})d\tau - (\partial \mathcal{L}/\partial x^{\mu}) = 0$$
 (3.2.1),

where  $x^* = dx/d\tau$  and the Lagrangian  $L(x^*^{\sigma}, x^{\sigma})$  is given by [7]

$$\begin{split} \mathbb{E}(\mathbf{x}^{*\sigma}, \mathbf{x}^{\sigma}) &= (1/2) \ g_{\mu\nu} \ \mathbf{x}^{*\mu} \ \mathbf{x}^{*\nu} = \\ &= (1/2) \{ \mathbf{c}^{2} (1 - 2m/r) t^{*2} - (1 - 2m/r)^{-1} r^{*2} - r^{2} (\theta^{*2} + \sin^{2}\theta \ \phi^{*2}) \} \textbf{(3.2.2)} \end{split}$$

where the symbol \* denotes derivatives with respect to  $\tau$ , of the coordinates  $x^0 = t$ ,  $x^1 = x$ ,  $x^2 = \theta$  and  $x^3 = \phi$ . That is,  $t^* = dt/d\tau$ ,  $x^* = dx/d\tau$ ,  $\theta^* = d\theta/d\tau$  and  $\phi^* = d\phi/d\tau$ .

Due to the spherical symmetry, there is no loss of generality in confining our attention to particles moving in the equatorial plane with  $\theta = \pi/2$ . With this value the third term ( $\mu = 2$ ) of **Eqs.(3.2.1**) is satisfied, and the second of these, with  $\mu = 1$ , reduces to<sup>[7]</sup>

$$(1-2m/r)^{-1}[d^2r/d\tau^2] + (mc^2/r^2)[dt/d\tau]^2 - (1-2m/r)^{-2}(m/r^2)[dr/d\tau]^2 - r[d\phi/d\tau]^2 = 0$$
 (3.2.3).

As these are cyclic coordinates, [2,7] that is,  $\partial L/\partial t^* = \text{const}$  and  $\partial L/\partial \phi^* = \text{const}$  and taking into account that  $\theta = \pi/2$  we can obtain two integration constants k and h: [3,7] h = r<sup>2</sup>(d $\theta$ /dt) = L<sup>2</sup>/m and E = orbit energy = c<sup>2</sup>(k<sup>2</sup>-1)/h<sup>2</sup>. In this way, from **Eq.(3.2.3)** we get,

$$(du/d\theta)^2 + u^2 = E + (2GM/h^2)u + (2GM/c^2)u^3$$
 (3.2.4).

For very small values  $2GM/c^2 \ll 1$  results the Newtonian limit, in agreement with **Section 1**, that is<sup>[2]</sup>

$$(du/d\theta)^2 + u^2 = E + (2GM/h^2)u$$
 (3.2.4),

giving,

$$u = 1/r = (GM/h^2)[1 + \epsilon \cos(\theta - \theta_0)]$$
 (3.2.5),

where  $\epsilon^2 = 1 + Eh^4/G^2M^2$ . When  $2GM/c^2 << 1$  we get, [4-6]

$$1/r = (GM/A^2)\{1 + \epsilon \cos[\theta - 3(GM/A)^2\theta]\}$$
 (3.2.6),

in agreement with Eq.(3.7), describing the perihelion precession.

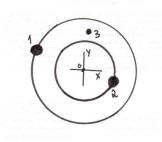
# (4) Restricted 3-Body Problem in General Relativity.

First, let us show the essential aspects of the *restricted* self-gravitating 3-body problem in General Relativity.

In **General Relativity** approach the 3-body dynamics problem can be greatly simplified assuming that:<sup>[8,9]</sup>

- (a) One of the three masses ("test particle", 3) is so small that its effect on the motion of the other two is negligible.
- (b) The two large bodies with masses  $m_1$  and  $m_2$ , are moving on circular paths about their center mass.
- **(c)**The 3 bodies move in a same plane.

This could be, for instance, the motion of the Moon around the Earth and of a **spacecraft** with mass  $m_3$ , shown in **Figure (4.1).**<sup>[8,9]</sup>



**Figure (4.1).** Three gravitating bodies (1, 2 and 3) in the same plane; 1 and 2 moving circularly around the point O. The mass  $m_3$  is much smaller than  $m_1$  and  $m_2$ .

The objective of the **restrict 3-body problem** is to calculate the dynamics of the test particle 3 as it moves under the gravitational influence of the bodies1 and 2. The problem is farther simplified by restricting the motion of the two primary masses (Earth and Moon) to circular orbits about their center of mass. <sup>[8,9]</sup> *Einstein field equations* are nonlinear, and therefore cannot in general be solved exactly. They have been calculated by Vanex<sup>[9]</sup> using a *post-Newtonian approximation* developed by Weinberg. <sup>[8]</sup> The metric tensor  $g_{\mu\nu}$  of the 3-body system obtained using **Eq.(3.2a)** are shown explicitly by Wanex. <sup>[9]</sup>

With these  $g_{\mu\nu}$  the equations of motion of the test particles, x, y and t, have been obtained by Vanex<sup>[9]</sup> using the **Lagrangian formalism:** 

$$L = (g_{ij}/2)(dx^{i}/d\tau)(dx^{j}/d\tau) = (g_{ij}/2) x^{i*} x^{j*}$$
(4.1),

taking into account that

$$(d/d\tau)(\partial \mathcal{L}/\partial x^{\mu*}) - (\partial \mathcal{L}/\partial x^{\mu}) = 0$$
 (4.2)

where  $x^{\mu}$  ( $\mu=0,1,2$ ) are functions of the proper time  $\tau$  for an observer on the test particles. The equations of motion  $x^{\mu**}=d^2x^{\mu}/d\tau^2$  and  $dt/d\tau=t^*$ , with  $\mu=1,2$ , have been obtained as functions of the time by numerical integration. The 3-bodies trajectories, that were estimated by numerical calculations, are seen in **Figures 3-9** shown by Wanex. <sup>[9]</sup>

To guarantee that these predictions are correct they must be identical to the motion equations of the (*restricted*) 3-body problem obtained in the Newtonian limit ( $c \rightarrow \infty$ ). Indeed, under this limit it was verified that they match exactly with the equations for the Newtonian (*restricted*) 3-body problem according, for instance, to Moulton<sup>[10]</sup>, and Szebehely.<sup>[11]</sup>

#### (4.a) Chaos in the Restrict 3-Body Problem in General Relativity.

The chaotic nature of the 3-body restricted motion in General Relativity can be illustrated by comparing horseshoes **Figures 10** and **11** obtained by Wanex.<sup>[9]</sup> These show that the relativistic earth-moon horseshoe trajectory is chaotic in the sense that a small change in the initial conditions results in a relatively large difference dozens of months later.

# (5) Newtonian Restricted 3-Body Problem.

The Newtonian restricted 3-body problem has been studied by several authors as, for instance, by Moulton<sup>[10]</sup> and Szebehely.<sup>[11]</sup> Recently, this problem was also studied by Caldas et al.<sup>[12]</sup> They have shown that there is **chaos i**n the Newtonian context.

# (6) Solar System Stability.

Before to analyze the Solar System stability let us remember essential aspects of the Chaos theory. [13] It is an interdisciplinary area of scientific study and branch of mathematics focused on underlying patterns and deterministic laws of dynamical systems that are highly sensitive to initial conditions. The *butterfly effect* for instance, an underlying example of chaos, describes how a small change in one state of a deterministic nonlinear system can result in large differences in a later state, meaning that there is sensitive dependence on initial conditions. Once it was thought to have completely random states of disorder and irregularities. However, this theory states that within the *apparent randomness* of chaotic complex systems, there are underlying patterns, interconnection, constant feedback loops, repetition, self-similarity, fractals, and self-organization. In a few words, which seems a contradiction, this theory is "not completely *chaotic"!* The deterministic nature of these systems does not make them predictable. This behavior is known as **deterministic chaos**, or simply **chaos.** The theory of nonlinear dynamical systems (chaos theory), which deals with *deterministic* systems that exhibit a complicated, apparently random-looking behavior, has formed an interdisciplinary area of research and has affected almost every field of science in the last 20 years. This theory was summarized by Edward Lorenz as:<sup>[13]</sup>

# Chaos: When the present determines the future, but the approximate present does not approximately determines the future.

Chaotic behavior exists in many natural systems, including fluid flow, heartbeat irregularities, weather, climate, sociology, computer science,..., and Solar System.<sup>[13]</sup>

The stability of the Solar System<sup>[14]</sup> is a subject of much inquiry in astronomy. Though the planets motion, which have been observed for a very long time, seen to be stable, and will be in the short term, their weak gravitational effects on one another can add up in unpredictable ways. For this reason, the Solar System is *chaotic* in the technical sense of the mathematical chaos theory.<sup>[15]</sup> Even the most precise long-term models for orbital motion of the Solar System are not valid over more than a few of millions years.<sup>[16]</sup>The Solar System is stable in human terms, and far beyond: planets will not collide with each other or be ejected from the system in next billion years<sup>[17]</sup> and the Earth's orbit will be relatively stable.

Since Newton's law of gravitation (1687), mathematicians and astronomers (as Pierre -Symon Laplace, Gauss, Poincaré, Komolgorov, V.Arnold and J. Moser) have searched for stability evidence of the planetary motion and this quest led to many mathematical developments and several successive "proofs" of the Solar System stability.<sup>[14]</sup>

The planets' orbits are chaotic over longer timescales, in such a way that the whole Solar System possesses a <u>Lyapunov time</u> in the range of 2–230 million years. <sup>[14]</sup> In all cases, this means that the position of a planet along its orbit ultimately becomes impossible to predict with any certainty. In some cases, the orbits themselves may change dramatically. Such chaos manifests most strongly as changes in eccentricity, with some planets' orbits becoming significantly more - or less - elliptical. <sup>[14]</sup> In calculation, the unknowns include asteroids, the solar quadrupole moment, mass loss from the Sun through radiation and solar wind, drag of solar wind on planetary magnetospheres, galactic tidal forces, and effects from passing stars. <sup>[14]</sup>

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