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ON THE EQUIVALENCE BETWEEN THE THIRRING MODEL  
AND A DERIVATIVE COUPLING MODEL

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Abstract

We analyse the equivalence between the Thirring model and the fermionic sector of the theory of a Dirac field interacting via derivative coupling with two boson fields. For a certain choice of the parameters the two models have the same fermionic Green functions.

(1)

In a recent work we analysed some properties of mass perturbation in the Thirring model, as an example of a perturbative scheme in which the unperturbed system is not a free field model but already incorporates some interaction. For practical reasons, instead of working directly with the Thirring model, it was convenient to use an equivalent theory, the derivative coupling (DC) model. This theory describes an interaction of a Dirac field  $\psi$  with two fields, one scalar,  $\eta$ , and the other pseudo scalar,  $\phi$ .

For specific values of the couplings, the fermionic

Green functions of the DC model turn out to be equal to those of the Thirring model as given, for example, by Klaiber<sup>(2)</sup>. This equivalence saved us a lot of technical complications making it possible, with relative easiness, to derive our results. However, in spite of this success, a basic question concerning the aforementioned equivalence still persists. Essentially, the question is the following: The Thirring model has one degree of freedom, in the sense that the basic field can be written entirely in terms of just one free scalar field. The DC model, on the other hand, has, in principle, three degrees of freedom, which can be taken to be  $\eta$ ,  $\phi$  and the potential,  $C$ , of the free vector current. So, the numbers of degrees of

freedom of the two models do not match. It is our purpose to clarify this situation and establish the precise way in which the equivalence of the two models should be understood. Anticipating our results, we are going to prove that in the fermionic sector a certain combination of the fields  $\psi$ ,  $\phi$  and  $C$  is spurion, or better saying, it commutes with all the elements of the algebra generated by the fermionic components of the DC model. Besides that, to produce the same Green functions as in the Thirring model, we are forced to use another special combination of these fields. These two constraints effectively reduce the number of degrees of freedom from three to one.

The massless Thirring model is defined by the equations

$$i\partial\psi(x) = -k\delta^{\mu\nu}N(j_\mu\psi)(x) \quad (1)$$

$$j_\mu(x) = N(\bar{\psi}\delta_\mu\psi)(x) \quad (2)$$

$$\{\psi_\alpha(x), \psi_\beta^\dagger(x)\}_{ext} = iZ\delta_{\alpha\beta}\delta(x) \quad (3)$$

where  $Z$  is a wave function renormalization constant and the symbol  $N$  indicates a normal product prescription to be defined shortly. Both Klaiber's and Johnson's solutions can be written as

$$\psi(x) = :exp\{i\alpha j(x) + i\tilde{\alpha}\delta^5\tilde{j}(x)\}\psi_0(x) \quad (4a)$$

$$\begin{aligned} j_\mu(x) &= \frac{1}{4}\sum_{\epsilon, \tilde{\epsilon}} e^{-(\alpha+\tilde{\alpha})D^-(\epsilon)} \left(1 + \frac{\alpha+\tilde{\alpha}}{4\pi}\right)^{-\frac{1}{2}} \left\{ \bar{\psi}(x+\epsilon)\delta_\mu\psi(x) - \delta_\mu\psi(x)\bar{\psi}(x-\epsilon) \right\} \\ &= \left(1 + \frac{\alpha+\tilde{\alpha}}{4\pi}\right)^{-\frac{1}{2}} \left\{ :\bar{\psi}_0\delta_\mu\psi_0:(x) - \frac{\alpha}{2\pi} \ln j(x) - \frac{\tilde{\alpha}}{2\pi} \tilde{\ln} \tilde{j}(x) \right\} \end{aligned} \quad (4b)$$

$$N(j_\mu\psi)(x) = \frac{1}{2} \left\{ j_\mu(x+\epsilon)\psi(x) + \psi(x)j_\mu(x-\epsilon) \right\} = :j_\mu\psi:(x) \quad (4c)$$

with the constants  $\alpha$ ,  $\tilde{\alpha}$ ,  $\alpha$  and  $\tilde{\alpha}$  given by,

$$\begin{aligned} \alpha &= \pi \left( \frac{4\pi}{\beta^2} - 1 \right) & \tilde{\alpha} &= \pi \left( \frac{\beta^2}{4\pi} - 1 \right) \\ \alpha &= \sqrt{\pi} \left( 1 - \frac{\sqrt{4\pi}}{\beta} \right) & \tilde{\alpha} &= \sqrt{\pi} \left( 1 - \frac{\beta}{\sqrt{4\pi}} \right) \end{aligned} \quad (5)$$

where  $\beta$  is the usual parameter of the sine-Gordon <sup>(3)</sup> model that is related to  $k$  by :

$$k = \pi \left( \frac{\beta}{\sqrt{4\pi}} - \frac{\sqrt{4\pi}}{\beta} \right) \quad (6)$$

The fields  $\psi_0$ ,  $j$  and  $\tilde{j}$  are massless free fields. They are not independent fields. Indeed, as can be verified, they satisfy the following commutation relations

$$[j^{-\alpha}, \tilde{j}^{\alpha}] = \tilde{D}^-(x) = -\frac{1}{4\pi} \ln \frac{x^0 - x^1 - x^2\epsilon}{x^0 + x^1 - x^2\epsilon} \quad (7)$$

$$[j(x), \phi_0(0)] = -i\sqrt{\pi} (\bar{D}(x) + \gamma^5 \bar{D}^5(x)) \phi_0(0)$$

$$[\bar{j}(x), \phi_0(0)] = -i\sqrt{\pi} (\bar{D}(x) + \gamma^5 D(x)) \phi_0(0) \quad (7)$$

where  $\bar{D}(x) = -\frac{1}{\sqrt{\pi}} \ln \mu^2 [x^2 - (x^0 - i\varepsilon)^2]$  is the two point function of the scalar fields  $j$  and  $\bar{j}$ . The  $2m$  point Green function

$$\begin{aligned} & \langle T \phi(x_1) \dots \phi(x_m) \bar{\phi}(y_1) \dots \bar{\phi}(y_n) \rangle \\ &= \exp \sum_{j < k} \left\{ -(\alpha + \tilde{\alpha} \delta_{x_j}^5 \delta_{x_k}^5) D(x_j - x_k) \right\} \\ & \cdot \exp \sum_{j < k} \left\{ -(\alpha + \tilde{\alpha} \delta_{y_j}^5 \delta_{y_k}^5) D(x_j - y_k) \right\} \\ & \cdot \exp \sum_{j < k} \left\{ (\alpha - \tilde{\alpha} \delta_{x_j}^5 \delta_{y_k}^5) D(x_j - y_k) \right\} \\ & \langle T \phi_0(x_1) \dots \phi_0(x_m) \bar{\phi}_0(y_1) \dots \bar{\phi}_0(y_n) \rangle \quad (8) \end{aligned}$$

can be then computed, making intensive use of the above commutations relations and of the identities

$$\exp(A) \cdot \exp(B) = \exp(B) \exp(A) \cdot \exp([A, B]) \text{ and}$$

$$C \cdot \exp(D) = \exp(d) \cdot \exp(D), C \text{ which hold if}$$

$$[A, B] = c\text{-number} \quad \text{and} \quad [C, D] = dD \text{ with } d = c\text{-number},$$

respectively.

For future reference we also observe that the mass operator can be defined by

$$\begin{aligned} N(\bar{\phi}\phi)(x) &= \exp\{-(\alpha - \tilde{\alpha}) D(x)\} \bar{\phi}(x+\varepsilon) \phi(x) \\ &= : \bar{\phi} \exp\{i\omega \tilde{\alpha} \delta^5 \bar{j}\} \phi : (x) \quad (9) \end{aligned}$$

Let us now focus our attention to the DC model. Classically, the model is described by the Lagrangian

$$\begin{aligned} \mathcal{L} &= \bar{\phi} i \not{D} \phi + \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \frac{1}{2} \partial_\mu \eta \partial^\mu \eta + \\ &+ (g \partial_\mu \eta - \bar{j} \not{D} \phi) (\not{D} \partial_\mu \phi) \quad (10) \end{aligned}$$

and its quantum version corresponds to the equations

$$i \not{D} \phi = -k \delta^{\mu\nu} N(g_\mu \phi) \quad (11a)$$

$$\square \eta = g \partial^\mu j_\mu \quad (11b)$$

$$\square \bar{\phi} = -\bar{j} \not{D}^\mu j_\mu \quad (11c)$$

$$g_\mu = -\frac{\bar{j}}{k} \partial_\mu \phi + \frac{g}{k} \partial_\mu \eta \quad (11d)$$

$$j_\mu = N(\not{D} \partial_\mu \phi) \quad (11e)$$

$$\{ \phi_\alpha(x), \phi_\beta^+(0) \}_{ET} = i Z_1 \delta_{\alpha\beta} \delta(x) \quad (11f)$$

$$[\phi(x), \dot{\phi}(0)]_{ET} = i Z_2 \delta(x) \quad (11g)$$

$$[\eta(x), \dot{\eta}(0)]_{ET} = i Z_3 \delta(x) \quad (11h)$$

where, as in the Thirring model, the  $Z$ 's renormalization constants as well as the normal product prescription, indicated by the symbol  $N$ , are specified together in the process of solving the model. Just for convenience, the coupling constant  $k$  was factorized on the right hand side of (11a). Actually, the model is solved by the following ansatz:

$$\psi(x) = : \exp(i g \gamma(x) + i \tilde{g} \delta^5 \phi(x)) : \psi_0(x)$$

(12)

$$J_\mu(x) = \frac{1}{\sqrt{\epsilon}} \sum_{\epsilon} \frac{-(g^2 - \tilde{g}^2) D^-(\epsilon)}{\left(1 + \frac{g^2 - \tilde{g}^2}{4\pi}\right)^{-\frac{1}{2}}} \cdot \left[ \bar{\psi}(x+\epsilon) \gamma_\mu \psi(x) - \gamma_\mu \psi(x) \bar{\psi}(x-\epsilon) \right]$$

$$= \left(1 + \frac{g^2 - \tilde{g}^2}{4\pi}\right)^{-\frac{1}{2}} \left\{ : \bar{\psi}_0 \gamma_\mu \psi_0 : (x) - \frac{g^2}{2\pi} \partial_\mu \gamma(x) - \frac{\tilde{g}^2}{2\pi} \partial_\mu \phi(x) \right\}$$

$$N(g_\mu \psi)(x) = \frac{1}{2} (g_\mu(x+\epsilon) \psi(x) + \psi(x) g_\mu(x-\epsilon)) = :g_\mu \psi(x)$$

The normalization factor for the current in (13a) was chosen to simplify the equations relating the DC and Thirring models. Moreover, it follows also from (13a), (11b) and (11c) that both  $\gamma$  and  $\phi$  are free fields. We can always redefine them, changing at the same time the couplings  $g$  and  $\tilde{g}$  so that  $Z_2^2 = Z_3^2 = 1$ . The case in which one of the  $Z$ 's is negative, corresponding to a negative metric field, will be useful in our forthcoming discussion of the equivalence to the Thirring model.

Using the above results, one may derive the following expression for the  $2m$  point function of the fermion field:

$$\begin{aligned} & \langle T \psi(x_1) \dots \psi(x_m) \bar{\psi}(y_1) \dots \bar{\psi}(y_n) \rangle \\ &= \exp \sum_{j < k} \left\{ -(g^2 + \tilde{g}^2 \delta_{xy}^5 \delta_{yz}^5) D_F(x_j - x_k) \right\} \\ & \quad \exp \sum_{j < k} \left\{ -(g^2 + \tilde{g}^2 \delta_{xy}^5 \delta_{yz}^5) D_F(y_j - y_k) \right\} \\ & \quad \exp \sum_{j < k} \left\{ (g^2 - \tilde{g}^2 \delta_{xy}^5 \delta_{yz}^5) D_F(x_j - y_k) \right\} \\ & \langle T \psi_0(x_1) \dots \psi_0(x_m) \bar{\psi}_0(y_1) \dots \bar{\psi}_0(y_n) \rangle. \quad (14) \end{aligned}$$

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We may also define a mass operator

$$\begin{aligned} N(\bar{\psi} \psi)(x) &= \exp \left\{ -(g^2 - \tilde{g}^2) D^-(\epsilon) \right\} \bar{\psi}(x+\epsilon) \psi(x) \\ &= : \bar{\psi}_0 \exp \left\{ i \omega \tilde{g} \delta^5 \phi \right\} \psi_0 : (x) \end{aligned}$$

which should be compared to the analogous expression for the Thirring model, equation (9).

It is now time to dissect the equivalence between the two models. Their fermionic Green functions turn out to be equal after the identification:

1. For  $k > 0$  ( $\theta^2 > 4\pi$ )

$$\begin{aligned} \alpha &= g^2 \quad [\psi(x), \psi(0)] = D(x) \\ \alpha &= -\tilde{g}^2 \quad [\gamma(x), \gamma(0)] = -D(x) \quad (15) \end{aligned}$$

2. For  $k < 0$  ( $\theta^2 < 4\pi$ )

$$\begin{aligned} \alpha &= -\tilde{g}^2 \quad [\psi(x), \psi(0)] = -D(x) \\ \alpha &= g^2 \quad [\gamma(x), \gamma(0)] = D(x) \quad (16) \end{aligned}$$

We have kept  $g$  and  $\tilde{g}$  real at the expenses of introducing an additional source of indefinite metric for the scalar fields.

The above Green functions identification does not hold at the operator level. To understand why this is so, it is convenient to employ a Mandelstam' like representation for the free Dirac field. As mentioned earlier, in the case of the Thirring model the fields  $\psi_0$ ,  $\gamma$  and  $\tilde{\gamma}$  are not

independent. So, in order to be compatible with (6), we shall use the following boson representation

$$j(x) = - \int_{-\infty}^x dx' \tilde{j}(x'; x_0) \quad \partial_\mu j = \tilde{\partial}_\mu \tilde{j} \quad (17)$$

$$\phi_m(x) = \mathcal{N} : \exp \left\{ -i\sqrt{\pi} \gamma^5 \tilde{j}(x) + i\sqrt{\pi} \int_{-\infty}^x dx' \tilde{j}(x') \right\} : X \quad (18)$$

where  $\mathcal{N}$  is a normalization constant and  $X$  a column matrix satisfying  $\bar{X} X = 1$ .

Using the above expressions, the fields  $\phi_m$ ,  $j_m^\mu$  and  $N(\phi_m)$  can be written entirely in terms of the potential  $\tilde{j}$

$$\phi_m(x) = \mathcal{N} : \exp \left\{ -i\frac{\beta}{2} \gamma^5 \tilde{j}(x) + i\frac{2\pi}{\beta} \int_{-\infty}^x dx' \tilde{j}(x') \right\} : X \quad (19)$$

$$j_m^\mu(x) = \frac{1}{\sqrt{\pi}} \tilde{\partial}_\mu \tilde{j} \quad (20)$$

$$N(\phi_m)(x) = \mathcal{N} : \cos(\beta \tilde{j}(x)) : \quad (21)$$

For the DC model we use a boson representation similar to (18) but employing a new independent field  $c$

(remember that in the DC model  $\phi_0$ ,  $\eta$  and  $\phi$  are independent). Introducing a field  $\tilde{c}$  related to  $c$  in the same way as  $\tilde{j}$  is related to  $j$  in (17), we get

$$\phi_m = \mathcal{N} : \exp \left\{ -i\sqrt{\pi} c - i\tilde{j}\phi \right\} + i \int_{-\infty}^x dx'' (\sqrt{\pi} \tilde{c} - g \tilde{j}) \right\} : X \quad (22)$$

$$j_m^\mu = \left( 1 + \left| \frac{g^2 - \tilde{g}^2}{4\pi} \right| \right)^{-\frac{1}{2}} \left\{ \frac{1}{\sqrt{\pi}} \tilde{\partial}_\mu c - \frac{g}{2\pi} \tilde{\partial}_\mu \tilde{j} - \frac{\tilde{g}}{2\pi} \tilde{\partial}_\mu \tilde{c} \right\} \quad (23)$$

$$g_{\mu\nu} = - \frac{\tilde{g}}{k} \tilde{\partial}_\mu \tilde{\phi} + \frac{g}{k} \tilde{\partial}_\mu \tilde{\eta} \quad (24)$$

$$N(\phi_m)_{\mu\nu} = \mathcal{N}' : \cos(\sqrt{\pi} c - 2\tilde{j}\phi) : \quad (25)$$

Defining the fields

$$\tilde{\phi} = \left( 1 + \left| \frac{g^2 - \tilde{g}^2}{4\pi} \right| \right)^{-\frac{1}{2}} \left\{ c - \frac{g}{\sqrt{\pi}} \tilde{j} - \frac{\tilde{g}}{\sqrt{\pi}} \phi \right\} \quad (26)$$

$$\begin{aligned} \sigma &= \left( 1 + \left| \frac{g^2 - \tilde{g}^2}{4\pi} \right| \right)^{-\frac{1}{2}} \left\{ -c + \frac{g}{\sqrt{\pi}} \left[ 1 + \frac{2\pi}{k} \left( 1 + \left| \frac{g^2 - \tilde{g}^2}{4\pi} \right| \right)^{+1/2} \right] \phi + \right. \\ &\quad \left. + \frac{\tilde{g}}{\sqrt{\pi}} \left[ 1 - \frac{g}{\sqrt{\pi}} \left( 1 + \left| \frac{g^2 - \tilde{g}^2}{4\pi} \right| \right)^{+1/2} \right] \phi \right\} \end{aligned} \quad (27)$$

we can rewrite (22-25) as :

$$\begin{aligned} \psi_{dc} &= \mathcal{N} : \exp \left\{ -i \frac{\beta}{2} \bar{x}^5 f + i \frac{2\pi}{c} \int_{-\infty}^{x^5} dx'^5 \dot{f}(x') \right\} : \\ &\quad \cdot \exp \left\{ -i \frac{k}{\sqrt{\pi}} \left( \bar{x}^5 \sigma + \int_{-\infty}^{x^5} dx'^5 \dot{\sigma} \right) \right\} : x \end{aligned} \quad (28)$$

$$j_{dc} = \frac{1}{\sqrt{\pi}} \tilde{\partial}_5 f \quad (29)$$

$$g_{dc} = \frac{1}{\sqrt{\pi}} (\tilde{\partial}_5 f + \tilde{\partial}_5 \sigma) \quad (30)$$

$$N(\mathcal{D}\chi)_{dc} = \mathcal{N} : \cos \left( \beta f + \frac{k}{\sqrt{\pi}} \sigma \right) : \quad (31)$$

The models equivalence in the situation specified by (16-17) follows from the similarity between  $f$  and  $\tilde{f}$ . The extra field  $\sigma$ , relevant outside the fermionic sector of the DC model, is a spurion in the fermionic sector having no role there. Really, it is easily verified that

$$[f(x), f(0)] = D(x)$$

$$[f(x), \sigma(0)] = 0$$

$$[\sigma(x), \sigma(0)] = 0 \quad . \quad (32)$$

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